

# Deterministic and Randomized Quicksort

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# Overview

- Deterministic Quicksort
- Modify Quicksort to obtain better asymptotic bound
- Linear-time median algorithm
- Randomized Quicksort

# Deterministic Quicksort

```
Quicksort(A,p,r)
```

```
  if  $p < r$  then
```

```
     $q := \text{Partition}(A,p,r)$ ; // rearrange  $A[p..r]$  in place
```

```
    Quicksort(A, p,q-1);
```

```
    Quicksort(A,p+1,r);
```

# Divide-and-Conquer

The design of Quicksort is based on the divide-and-conquer paradigm.

a) **Divide**: Partition the array  $A[p..r]$  into two (possibly empty) subarrays  $A[p..q-1]$  and  $A[q+1,r]$  such that

- $A[x] \leq A[q]$  for all  $x$  in  $[p..q-1]$
- $A[x] > A[q]$  for all  $x$  in  $[q+1,r]$

b) **Conquer**: Recursively sort  $A[p..q-1]$  and  $A[q+1,r]$

c) **Combine**: nothing to do here

# Partition

2	1	3	4	7	5	6	8
p		i					r

Select pivot (orange element) and rearrange:

- larger elements to the left of the pivot (red)
- elements not exceeding the pivot to the right (yellow)

# Partition

Partition(A,p,r)

$x := A[r]$ ; // select rightmost element as pivot

$i := p-1$ ;

for  $j = p$  to  $r-1$  {

    if  $A[j] \leq x$  then  $i := i+1$ ; swap( $A[i]$ ,  $A[j]$ );

}

swap( $A[i+1]$ ,  $A[r]$ );

return  $i+1$ ;

Throughout the for loop:

- If  $p \leq k \leq i$  then  $A[k] \leq x$
- If  $i+1 \leq k \leq j-1$  then  $A[k] > x$
- If  $k=r$ , then  $A[k] = x$
- $A[j..r-1]$  is unstructured

# Partition - Loop - Example

	2	8	7	1	3	5	6	4
i	p,j							r

	2	8	7	1	3	5	6	4
	p,i	j						r

	2	8	7	1	3	5	6	4
	p,i		j					r

	2	8	7	1	3	5	6	4
	p,i			j				r

	2	1	7	8	3	5	6	4
	p	i			j			r

	2	1	3	8	7	5	6	4
	p	i				j		r

	2	1	3	8	7	5	6	4
	p		i				j	r

	2	1	3	8	7	5	6	4
	p		i					r

After the loop, the partition routine swaps the leftmost element of the right partition with the pivot element:

	2	1	3	8	7	5	6	4
	p		i					r

`swap(A[i+1],A[r])`

	2	1	3	4	7	5	6	8
	p		i					r

now recursively sort yellow and red parts.



# Worst-Case Partitioning

The worst-case behavior for **quicksort** occurs on an input of length  $n$  when partitioning produces just one subproblem with  $n-1$  elements and one subproblem with 0 elements.

Therefore the recurrence for the running time  $T(n)$  is:

$$T(n) = T(n-1) + T(0) + \theta(n) = T(n-1) + \theta(n) = \theta(n^2)$$

Perhaps we should call this algorithm **pokysort**?

# “Better” Quicksort and Linear Median Algorithm

# Best-case Partitioning

Best-case partitioning:

If partition produces two subproblems that are roughly of the same size, then the recurrence of the running time is

$$T(n) \leq 2T(n/2) + \theta(n)$$

so that  $T(n) = O(n \log n)$

Can we achieve this bound?

Yes, modify the algorithm. Use a linear-time median algorithm to find median, then partition using median as pivot.

# Linear Median Algorithm

Let  $A[1..n]$  be an array over a totally ordered domain.

- Partition  $A$  into groups of 5 and find the median of each group. [You can do that with 6 comparisons]
- Make an array  $U[1..n/5]$  of the medians and find the median  $m$  of  $U$  by recursively calling the algorithm.
- Partition the array  $A$  using the median-of-medians  $m$  to find the rank of  $m$  in  $A$ . If  $m$  is of larger rank than the median of  $A$ , eliminate all elements  $> m$ . If  $m$  is of smaller rank than the median of  $A$ , then eliminate all elements  $\leq m$ . Repeat the search on the smaller array.

# Linear-Time Median Finding

How many elements do we eliminate in each round?

The array  $U$  contains  $n/5$  elements. Thus,  $n/10$  elements of  $U$  are larger (smaller) than  $m$ , since  $m$  is the median of  $U$ . Since each element in  $U$  is a median itself, there are  $3n/10$  elements in  $A$  that are larger (smaller) than  $m$ .

Therefore, we eliminate  $(3/10)n$  elements in each round.

Thus, the time  $T(n)$  to find the median is

$$T(n) \leq T(n/5) + T(7n/10) + 6n/5.$$

// median of  $U$ , recursive call, and finding medians of groups

# Solving the Recurrence

Suppose that  $T(n) \leq cn$  (for some  $c$  to be determined later)

$$T(n) \leq c(n/5) + c(7n/10) + 6n/5 = c(9n/10) + 6n/5$$

If this is to be  $\leq cn$ , then we need to have

$$c(9n/10) + 12n/10 \leq cn \text{ or } 12 \leq c$$

Suppose that  $T(1) = d$ . Then choose  $c = \max\{12, d\}$ .

An easy proof by induction yields  $T(n) \leq cn$ .

# Goal Achieved?

We can accomplish that quicksort achieves  $O(n \log n)$  running time, if we use the linear-time median finding algorithm to select the pivot element.

Unfortunately, the constant in the big Oh expression becomes large, and quicksort loses some of its appeal.

Is there a simpler solution?

# Randomized Quicksort



# Deterministic Quicksort

Randomized-Quicksort( $A, p, r$ )

if  $p < r$  then

$q := \text{Randomized-Partition}(A, p, r);$

    Randomized-Quicksort( $A, p, q-1$ );

    Randomized-Quicksort( $A, p+1, r$ );

# Partition

Randomized-Partition( $A, p, r$ )

$i := \text{Random}(p, r);$

$\text{swap}(A[i], A[r]);$

$\text{Partition}(A, p, r);$

Almost the same as Partition, but now the pivot element is not the rightmost element, but rather an element from  $A[p..r]$  that is chosen uniformly at random.

# Goal

- The running time of quicksort depends mostly on the number of comparisons performed in all calls to the Randomized-Partition routine.
- Let  $X$  denote the random variable counting the number of comparisons in all calls to Randomized-Partition.

# Notations

- Let  $z_i$  denote the  $i$ -th smallest element of  $A[1..n]$ .
- Thus  $A[1..n]$  sorted is  $\langle z_1, z_2, \dots, z_n \rangle$ .
- Let  $Z_{ij} = \{z_i, \dots, z_j\}$  denote the set of elements between  $z_i$  and  $z_j$ , including these elements.
- $X_{ij} = I\{z_i \text{ is compared to } z_j\}$ .
- Thus,  $X_{ij}$  is an indicator random variable for the event that the

# Number of Comparisons

Since each pair of elements is compared at most once by quicksort, the number  $X$  of comparisons is given by

$$X = \sum_{i=1}^{n-1} \sum_{j=i+1}^n X_{ij}$$

Therefore, the expected number of comparisons is

$$E[X] = \sum_{i=1}^{n-1} \sum_{j=i+1}^n E[X_{ij}] = \sum_{i=1}^{n-1} \sum_{j=i+1}^n \Pr[z_i \text{ is compared to } z_j]$$

# When do we compare?

When do we compare  $z_i$  to  $z_j$ ?

Suppose we pick a pivot element in  $Z_{ij} = \{z_i, \dots, z_j\}$ .

If  $z_i < x < z_j$  then  $z_i$  and  $z_j$  will land in different partitions and will **never** be compared afterwards.

Therefore,  $z_i$  and  $z_j$  will be compared if and only if the first element of  $Z_{ij}$  to be picked as pivot element is contained in the set  $\{z_i, z_j\}$ .

# Probability of Comparison

$$\begin{aligned} & \Pr[z_i \text{ or } z_j \text{ is the first pivot chosen from } Z_{ij}] \\ &= \Pr[z_i \text{ is the first pivot chosen from } Z_{ij}] \\ & \quad + \Pr[z_j \text{ is the first pivot chosen from } Z_{ij}] \\ &= \frac{1}{j-i+1} + \frac{1}{j-i+1} = \frac{2}{j-i+1} \end{aligned}$$

# Expected Number of Comparisons

$$\begin{aligned} E[X] &= \sum_{i=1}^{n-1} \sum_{j=i+1}^n \frac{2}{j-i+1} \\ &= \sum_{i=1}^{n-1} \sum_{k=1}^{n-i} \frac{2}{k+1} \\ &< \sum_{i=1}^{n-1} \sum_{k=1}^n \frac{2}{k} \\ &= \sum_{i=1}^{n-1} O(\log n) \\ &= O(n \log n) \end{aligned}$$



# Conclusion

It follows that the expected running time of Randomized-Quicksort is  $O(n \log n)$ .

It is unlikely that this algorithm will choose a terribly unbalanced partition each time, so the performance is very good almost all the time.