

Adversarial Lower Bounds

Andreas Klappenecker

The Adversary Technique

Deriving lower bounds for all algorithms that solve a certain problem can be a difficult task.

One potential way to obtain such a proof is by pretending that there is an "adversary" which observes the interaction of the algorithm with its data structures and dynamically generates the input such that the algorithm makes as little progress as possible.

Analyzing the adversary then yields the lower bound proof.

Determining the Minimum

Every comparison-based algorithm for determining the minimum of a set of n elements must use **at least $n/2$** comparisons.

Indeed, every element must be compared at least once, for otherwise the adversary can choose an element that is not compared and set it to the minimum. Precisely two elements are compared by a comparison. Hence, there must be at least $n/2$ comparisons.

Remarks

- The best comparison-based algorithm known to me makes $n-1$ comparisons.
- There is quite a gap between $n/2$ and $n-1$ comparisons.
- Is the algorithm suboptimal or is the lower bound too weak?

Determining the Minimum

Every comparison-based algorithm for determining the minimum of a set of n elements must use **at least $n-1$** comparisons.

Let's think in terms of a tournament, where $x > y$ means that **x won against y** . We can declare an element m to be a minimum if and only if every other element has won a comparison against some other element. [As the adversary could declare any element that has never won to be a minimum.] Since each comparison yields one winner, there must be $n-1$ comparisons.

Lower Bound for Sorting

[Adversary Version]

Any comparison-based sorting algorithm needs in the worst case $\Omega(n \log n)$ comparisons to sort n elements.

The sorting algorithm needs to decide between $n!$ different permutations of the input data. The adversary maintains a list L of all possible input data that are consistent with the comparisons that have been made so far.

Lower Bound for Sorting 2

Suppose the algorithm makes the comparison $a[i] < a[j]$. The adversary computes:

List L_y of permutations in L such that $a[i] < a[j]$.

List L_n of permutations in L such that $a[i] \geq a[j]$.

The adversary returns "true" and sets $L = L_y$ when $|L_y| > |L_n|$; otherwise "false" and $L = L_n$.

So the adversary tries to keep the list L as large as possible.

Lower Bound for Sorting 3

Since an algorithm cannot terminate unless $|L|=1$, one needs at least $\log(n!)$ comparisons.

As $\exp(x) > x^n/n!$ holds for all $x \geq 0$, we have $e^n > n^n/n!$ and hence $n! > (n/e)^n$.

Therefore, we need

$\log(n!) > \log((n/e)^n) = n \log n - n \log e = \Omega(n \log n)$
comparisons.