## Finding the Second Largest Element <br> Andreas Klappenecker

## Problem

Given a set of $n$ elements from a totally ordered domain, our goal is to find the second largest element $\mathrm{m}_{2}$.

How many queries are needed to determine $m_{2}$ ?

## Upper Bound

We can compare the elements pairwise in a tournament style.
If $x<y$, then we say that $y$ wins.


- If an element was never compared to the largest element, then it cannot be second largest (e.g. a $a_{2}$ ).
- Find second largest among the one's who have lost to the largest.
- So $<=(n-1)+\lceil\lg n\rceil-1$ comparisons


## Lower Bound

Any algorithm to determine the second largest element of a totally ordered set $n$ elements needs at least $(n-2)+\lceil\lg n\rceil$ comparisons in the worst case.

## Lower Bound

Let $m_{1}$ be the largest element and $m_{2}$ the second largest element.
An algorithm to determine $m_{2}$ needs to find the largest element $m_{1}$ for otherwise an adversary would be able to exchange $m_{1}$ for $m_{2}$.

Furthermore, the $n-2$ elements below $m_{2}$ must be identified by the algorithm, meaning that they must have lost in comparison to $\mathrm{m}_{2}$ or some element below $m_{2}$. This means that there are $n-2$ comparisons that do not involve $\mathrm{m}_{1}$.

It remains to show that an adversary can force any algorithm to do at least $\lceil\lg n\rceil$ comparisons with the largest element $m_{1}$.

## Adversary 1

Our goal is to show that an algorithm $Z$ needs to make $\lg n$ or more comparisons with the largest element.

We construct an adversary that answers comparisons "Is a <= b?" consistent with a total order of the $n$ elements.

For each element $x$, we let $K(x)$ denote the set of elements $y$ known to $Z$ that satisfy $y<=x$. Initially $K(x)=\{x\}$.

The adversary uses previous query history of $Z$ and $K(a)$ and $K(b)$ to create answer for questions such as "Is $a<=b$ ".

## Adversary 2

The adversary behaves as follows:

- If "Is $a<=b$ ?" was asked before, give same answer.
- If "Is $a<=b$ ?" was not asked before, then answer
- yes if $|K(a)|<=|K(b)|$. Update $K(b):=K(a) \cup K(b)$
- no, if $|K(a)|>|K(b)|$. Update $K(a):=K(a) \cup K(b)$


## Adversary 3

Let $S$ be the totally ordered domain of $n$ elements.

- At the beginning $|K(a)|=1$ holds for all $a$ in $S$.
- For each query involving $a,|K(a)|$ can at most double.
- Since $Z$ needs to determine largest element, $\left|K\left(m_{1}\right)\right|=n$ must hold at the end.
- The number $k$ of queries involving $m_{1}$ satisfies $2^{k}>=n$, so $k>=\lg n$ and since $k$ must be an integer, we have $k>=\lceil\lg n\rceil$.


## Conclusions

Any algorithm to determine the second largest element of a totally ordered set $n$ elements needs at least $(n-2)+\lceil\lg n\rceil$ comparisons in the worst case.

We have given an optimal algorithm that attains this lower bound.

